# Optimal Neoadjuvant Endocrine Therapy

Chemotherapy is the most frequent form of neoadjuvant systemic therapy utilized in the United States; while in Europe, preoperative endocrine therapy has been used extensively in women with ER-positive cancers. Phase II and III clinical trials have suggested that the antitumor effect of endocrine therapy in these patients is comparable to what has been observed with chemotherapy, although the time to achieve a response is somewhat longer with endocrine therapy. Tamoxifen and ovarian ablation/suppression were initially utilized as neoadjuvant therapy, and more recently, studies of third-generation aromatase inhibitors and the estrogen receptor downregulator fulvestrant have demonstrated significant antitumor activity. In addition to clinical endpoints, neoadjuvant therapy is also being investigated as an *in vivo* surrogate of tumor response to adjuvant therapy. A current large EORTC randomized trial is evaluating fulvestrant in the preoperative setting.

#### **RESEARCH BACKGROUND**

We began studying neoadjuvant systemic therapy about 15 years ago. Our hope was that accurate models of drug action could be derived by taking sequential samples of tumors during treatment. You can obtain a very good idea of what's happening within the tumors by looking at biological markers rather than just clinical endpoints.

To determine if we can predict early on whether a patient is going to receive benefit from therapy, we obtain biopsies at 10 to 14 days after treatment and examine effects on proliferation, cell death and a variety of genetic markers. This becomes a potential method to individualize treatment.

—J Michael Dixon, MD, FRCS

#### **NEOADJUVANT AROMATASE INHIBITORS**

Neoadjuvant therapy allows you to determine in a couple of months whether or not an agent is going to have a favorable impact as opposed to giving a drug blindly for years after local therapy and hoping that it will help. There is a lot of data for chemotherapy, and similar data will emerge for endocrine therapy. The studies in ER-positive, postmenopausal women show a very high degree of antitumor activity in patients treated with aromatase inhibitors. In one trial, anastrozole showed dramatic tumor reductions — more than 80% of the patients had objective shrinkage of their disease, and close to two-thirds of the women were candidates for breast preservation after approximately four months of therapy.

# ErbB STATUS AND RESPONSE TO NEOADJUVANT ENDOCRINE THERAPY IN ER+ TUMORS

Marker status	Letrozole		Tamoxifen		p value
	Responders	%	Responders	%	
ErbB-1/2 positive	15/17	88	4/19	21	.0004
ErbB-1/2 negative	55/101	54	42/100	42	.0780

**DERIVED FROM:** Ellis MJ et al. Letrozole is more effective neoadjuvant endocrine therapy than tamoxifen for ErbB-1- and/or ErbB-2-positive, estrogen receptor-positive primary breast cancer: Evidence from a phase III randomized trial. J Clin Oncol 2001;19(18):3808-16.

### INDIRECT COMPARISON OF NEOADJUVANT RESPONSE RATES: CHEMOTHERAPY VERSUS HORMONAL THERAPY

Study	# Evaluable Patients	Neoadjuvant Therapy	pCR	CR
NSABP B-27*	718 1,492	AC x 4 → T x 4 AC x 414%	26% 86%	91%
Aberdeen Trial**	50 47	CVAP x 4 responders randomized $\rightarrow$ CVAP x 4 $\rightarrow$ T x 4	15% 31% 23%	66% 64% 85%
Milla-Santos et al.***	74	Anastrozole	23%	83%
Ellis MJ et al.****	124 126	Letrozole Tamoxifen	_	60% 41%

CLINICAL AND PATHOLOGICAL RESPONSE RATES WITH NEOADJUVANT ANASTROZOLE IN LOCALLY ADVANCED BREAST CANCER

	n	%
Clinical response rates		
Complete response	19/74	26
Partial response	42/74	57
Objective response	61/74	83
Pathologic response rates		
Complete response	14/74	23
Partial response	47/74	64

**DERIVED FROM:** Milla-Santos A et al. Anastrozole as neoadjuvant therapy for hormone-dependent locally advanced breast cancer in postmenopausal patients. Breast Cancer Res Treat 2001;Abstract 302.

# PHASE III RANDOMIZED NEOADJUVANT STUDY OF ICI 182780 IN WOMEN WITH STAGE I OR II PRIMARY BREAST CANCER — Open Protocol Protocol IDs: BIG-EORTC-10963, EORTC-10963 Projected Accrual: 3,656 patients (1,828 per arm)

Eligibility ER-positive or unknown, pre- or postmenopausal, stage I or II primary operable breast cancer

surgery between

days 8 and 29

ARM 2 Placebo IM on day 1

Patients followed q 3 months x 2 years, q 6 months x 3 years, then annually thereafter.

—Aman Buzdar, MD

#### **EFFICACY VERSUS CHEMOTHERAPY**

Data from trials of neoadjuvant endocrine therapy are impressive and will have important implications for clinical practice. I was impressed by Ellis' study of preoperative letrozole, but the study using anastrozole convinced me to begin utilizing neoadjuvant endocrine therapy. Anastrozole produced the same pathologic complete response rate as AC followed by docetaxel in the NSABP B-27 trial. Previously, when I encountered patients with stage IIIA/B breast cancer, my immediate reaction was to consider which chemotherapeutic regimen to use. Neoadjuvant endocrine therapy appears to be as effective as chemotherapy, and it is much more benign.

—Melody A Cobleigh, MD

Abbreviations: AC=doxorubicin/cyclophosphamide; T=docetaxel; CVAP=cyclophosphamide/vincristine/doxorubicin/prednisolone; pCR=pathologic complete response; CR=partial and complete clinical response

\*NSABP. Breast Cancer Res Treat 2001;Abstract 5.

\*\*Smith IC et al. J Clin Oncol 2002;20:1456-1466.

- \*\*\*Milla-Santos A et al. Breast Cancer Res Treat 2001;Abstract 302.
- \*\*\*\*Ellis MJ et al. *J Clin Oncol* 2001;19(18):3808-16.

#### Objectives

I. Determine the inhibitory effect of fulvestrant on the development of metastasis, as measured by disease-free survival and overall survival, in women with operable stage I or II primary breast cancer.

II. Determine toxicity of this regimen in these patients.

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SOURCE: Physician Data Query, January 2003.

#### SELECT PUBLICATIONS

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Dixon JM et al. The effects of neoadjuvant anastrozole (Arimidex) on tumor volume in postmenopausal women with breast cancer: A randomized, double-blind, single-center study. *Clin Cancer Res* 2000;6(6):2229-35.

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Robertson JFR et al. The pharmacokinetics of single dose Faslodex<sup>™</sup> (ICI 182,780) in postmenopausal primary breast cancer — Relationship with estrogen receptor (ER) down regulation. *Proc ASCO* 2000; Abstract 362.

Smith IC et al. Neoadjuvant chemotherapy in breast cancer: Significantly enhanced response with docetaxel. *J Clin Oncol* 2002;20:1456-1466.

## EORTC STUDY 10963: FULVESTRANT VERSUS PLACEBO

The preoperative EORTC trial evaluates one injection of fulvestrant after the diagnosis of breast cancer but before surgery. The idea is for the fulvestrant injection to cover the operative period as a potent antiestrogen that will lower estrogen receptor levels. We want to test the hypothesis of Bernie Fisher and others that adverse events related to metastases occur during the perioperative period. Hopefully, we can alter that with fulvestrant. The aim is to enroll more than 3,000 women into this study.

#### —Anthony Howell, FRCP

Fulvestrant is in a completely new class of antiestrogens. It differs from tamoxifen and other SERMs in that it increases degradation of the estrogen receptor, resulting in dramatic reductions in this protein. It also shuts down both AF1 and AF2 transcription functions, and it appears not to have any estrogenic activity. This agent may be one of the most important new developments in endocrine therapy.

—John F Robertson, MD, FRCS

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